10/552588

JC05 Rec'd PCT/PTO 12 OCT 2005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Yasuhiko OTSUBO, et al.

Group Art Unit

(Unassigned)

Application No.

(Natl. Phase of PCT/JP05/04731)

Examiner

(Unassigned)

Filed

(Herewith)

Docket No.

960/193

For

EXHAUST PURIFYING APPARATUS AND EXHAUST

PURIFYING METHOD FOR INTERNAL COMBUSTION

ENGINE

INFORMATION DISCLOSURE STATEMENT

U.S. PATENT AND TRADEMARK OFFICE Customer Service Window Randolph Bldg. 401 Dulany Street Alexandria, VA 22314

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). The paragraphs marked below are applicable. It is believed that no fees other than those indicated below are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

☑ 1. This Information Disclosure Statement is being filed (a) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d), (b) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, (c) before the mailing date of a first Office Action on the merits in the present application, OR (d) before the mailing of a first office action after filing of a request for continued examination. No certification or fee is required.

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☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a final action, Notice of Allowance, or any action that otherwise closes prosecution.
☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
b. I hereby certify that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
c. Please debit Deposit Account No. <u>11-0600</u> in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. 37 CFR §1.97(c)(2).
3. This Information Disclosure Statement is being filed after the mailing date of a final action, Notice of Allowance or an action that otherwise closes prosecution, but before payment of the Issue Fee. Applicant(s) hereby request(s) that the Information Disclosure Statement be considered. Please debit Deposit Account No. 11-0600 in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
b. I hereby certify that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, no item contained in the Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
☐ 4. Relevance of the non-English language reference(s) is discussed in the present specification.
5. The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

	A concise explanation of the relevance appears in the Appendix attached hereto			
Application Natechnical subjections as present application National Nationa	The Examiner's attention is directed to o, filed, filed, ject matter. The identification of this U.S a waiver of secrecy as to that application as a patent. The Examiner is restication and the art cited therein during expectation and the art cited therein during expectation and the art cited therein during expectation.	_, which is directed to related S. Patent Application is not to be on now or upon issuance of the spectfully requested to consider		
8. This application is one of a series of related applications, identified in the attached Appendix, which are directed to related technical subject matter. The identification of those U.S. Patent Applications is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during the examination.				
9. The reference(s) was/were cited by or submitted to the Office in parent application No filed, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).				
☑ 10. references.	English-language Abstracts are attached	ed to the non-English language		
□ 11.	No copies of U.S. references are requir	red.		
☑ 12. Other: JP 2002-227688 is described in the specification. The remaining references listed on the enclosed form PTO/SB/08 were cited in the International Search Report, a copy of which is enclosed.				
Date: Octoo KENYON & K 1500 K Street Washington,	t, N.W.	Respectfully submitted, John C. Altmiller Reg. No. 25,951		
Telephone: (2 Facsimile: (2	202) 220-4200 02) 220-4201			

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PTO/SB/08a (08-03)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO Application Number (Natl. Phase of PCT/JP05/04731) INFORMATION DISCLOSURE (Herewith) (1) / S Filing Date STATEMENT BY APPLICANT Yasuhiko ÕTŠÚBO First Named Inventor Art Unit (Unassigned) (Use as many sheets as necessary) Examiner Name (Unassigned) Sheet of | 1 Attorney Docket Number 960/193

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document		
	1	US2004/0013579A1	01/22/04	SCHALLER		
	 					
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FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No.1	Date	Date MM-DD-YYYY			T⁰
	1	JP 2002-227688	08/14/02	MOTOR CO LTD		
	2	JP 2002-332822	11/22/02	TOYOTA MOTOR CORP		
	3	EP 1 229 223 A1	08/07/02	NISSAN MOTOR CO., LTD.		
	4	JP 2003-020930 [/]	01/24/03	MOTOR CORP		
		4.				

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and Taxamine in the through clatter or not citation is in conformance with MPEP 609. Draw line through clatter in the through clatter in the through clatter or not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\)Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\)For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\)Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\)Applicant is to place a check mark here if

English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and this collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a behelit by the public which is to flie (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the armount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. #496699